

TITLE 4 - NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

CHAPTER 2 - DESIGNATION OF INDIVIDUALS REQUIRED TO FILE STATEMENTS OF FINANCIAL INTERESTS (Adopted October 29, 1999; Amended ____)

STATUTORY AUTHORITY

This rule is adopted pursuant to the provisions of sections 49-14,123(1) and 49-1493(44 ~~13~~), Revised Statutes of Nebraska.

001 **SCOPE AND APPLICATION:** This rule designates the public officials and employees required to file Statements of Financial Interests with the Commission ~~and the county election commissioner or county clerk of the county of their residence~~ pursuant to subdivision (44 ~~13~~) of section 49-1493 and includes, but is not limited to, public officials and employees required to file such statements by reason of statutory designation pursuant to the provisions of subdivisions (1) to (40 ~~12~~) of said section. See sections 49-1493 to 49-1495, Revised Statutes of Nebraska, for additional requirements as to with whom such Statements of Financial Interests must be filed and when such filings are required. See sections 49-1496 to 49-1497 and NADC Form C-1 for the form and contents of such Statements of Financial Interests.

002 **DESIGNATION:** Under the following subdivisions (1) to (44 ~~13~~) of section 49-1493, Statements of Financial Interests shall be filed by individuals holding the office of, filing for election to, or appointed to the following:

002.01 State Executive Officers and executive department heads, including: Governor, Lieutenant Governor, Secretary of State, Auditor of Public Accounts, State Treasurer, Attorney General, Tax Commissioner, Director of Agriculture, Commissioner of Labor, Members of the State Board of Health, Director-State Engineer for the Department of Roads, Director of ~~Water~~ Department of Natural Resources, Director of Banking and Finance, Director of Insurance, Director of Motor Vehicles, Director of Administrative Services, Director of Correctional Services, Director of Economic Development, Superintendent of the Nebraska State Patrol, ~~Director~~ Chief Executive Officer of Health and Human Services, ~~Director of Regulation and Licensure for the Department of Health and Human Services Regulation and Licensure and Director of Finance and Support for Health and Human Services Finance and Support.~~

002.02 Commissioner of Education, State Board of Education: Members, Board of Regents of the University of Nebraska except student members, Coordinating Commission for Postsecondary Education: Members.

002.03 Board of Parole: Members.

002.04 Public Service Commission: Commissioners.

002.05 ~~State Senators~~ Members of the Legislature

002.06 Members of the board of directors and officers of districts organized under Chapter 70, including: Public Power Districts, Public Irrigation Districts, Public Power and Irrigation Districts, Rural Power Districts.

- 002.07 A member of any board or commission of the state or any county which examines or licenses a business or which determines rates for or otherwise regulates a business, including: Nebraska Brand Committee, Motor Vehicle Licensing board, Oil and Gas Conservation Commission, Nebraska State Racing Commission.
- 002.08 A member of a land-use planning commission, zoning commission, or authority of the state or any county with a population of more than one hundred thousand inhabitants, including: county planning commissions and city-county (joint) planning commissions with a population of more than one hundred thousand inhabitants, Natural Resources Commission, Environmental Quality Council, county boards of adjustment in counties with a population of more than one hundred thousand inhabitants.
- 002.09 Elected county officials, including: commissioners, supervisors, attorney, sheriff, register of deeds, clerk, clerk of the district court, superintendent of schools, engineer, assessor, public defender, treasurer, surveyor, and members of weed control authority boards.
- 002.10 A member of the Environmental Trust Board.
- 002.11 An individual employed at the University of Nebraska-Lincoln in the position of Head Football Coach, Men's Basketball Coach, or Women's Basketball Coach.
- 002.102 Elected officials of a city of the primary or metropolitan class.
- 002.143 The officials or employees of the state hereafter designated (includes state officials listed in 002.01 through ~~002.10~~ 002.12 above):

1. Accountability and Disclosure Commission: Commission members, executive director, deputy director, general counsel, auditors.
2. Administrative Services: director, deputy director, controller, NIS administrator, division administrators and directors, personnel administrator. Material ~~Material~~ Division: ~~state printer~~, print shop manager, ~~office services manager~~, central mail/supply services manager, state recycling coordinator, procurement manager, buyers, state surplus property manager. Personnel Division: ~~classification and compensation administrator~~, personnel administrator classification and compensation, ~~recruitment/affirmative action administrator~~, personnel administrator recruitment, affirmative action administrator, ~~personnel planning and research administrator~~, personnel administrator organizational development. chief negotiator division of employee relations, personnel administrator employee benefits, personnel administrator NIS Manager Capitol Restoration Program Capitol

Administrator, Risk Manager/Risk Management Division, members of the Task Force for Building Renewal, Task Force for Building Renewal Architects and Consultants, members of the State Building Advisory Commission, chief information officer, CIO IT administrators, Building Division: Architects & Engineers.

3. Aeronautics: director, deputy director, ~~deputy director~~ division manager operations, ~~state airport engineer~~ division manager project management, division manager planning and programming, division manager navigational aids.
4. Agriculture: director, assistant director, state veterinarian, deputy state veterinarian, ~~state entomologist~~, administrative manager, division heads, legal counsel, budget and fiscal officer, agricultural trade representative.
5. Nebraska Arts Council: members, executive director, associate directors.
6. Athletic Commission: commissioner.
7. Attorney ~~general~~ General: attorney general, deputy attorneys general; ~~assistant attorneys general, executive assistant/office manager.~~
8. Auditor of Public Accounts: auditor of public accounts, deputy auditor, assistant deputy auditors, audit managers, Finance Manager, Legal Counsel.
9. Banking and Finance: director, deputy director-~~financial institutions~~, assistant director-~~securities~~, general counsel, Information Technology Manager, business manager.
10. Board of Barber Examiners: director.
11. Nebraska Brand Committee: committee members, executive director/chief inspector.
12. Collection Agency Licensing Board: members
13. Colleges--Board of Trustees: members of the board of trustees, ~~executive director, chancellor, associate director of fiscal and facilities management~~ vice chancellor for finance and administration, general counsel and vice chancellor for employee relations, state college presidents, vice-presidents for administration and finance, vice-presidents for academic affairs, ~~associate director of academic affairs and legal services,~~ vice chancellor for academic

and student affairs, purchasing agents, directors of administrative services, business manager – Peru State College, business office manager – Chadron State College, business services director – Wayne State College.

14. Corn Development, Utilization, and Marketing Board: administrator, board members.
15. Correctional Services: director, ~~assistant deputy~~ directors, wardens, deputy and associate wardens of Nebraska State Penitentiary, Nebraska Center for Women and Lincoln Correctional Center, Omaha Correctional Center, superintendents and assistant superintendents of the community Corrections Center-Lincoln, Hastings Correctional Center, Omaha Correctional Center, warden and corrections assistant superintendent of the Community Corrections Center – Lincoln, facility engineering manager, agency legal counsel, corrections ~~material~~ materiel administrator, ~~accounting and finance manager, agency controller,~~ corrections accreditation and planning manager, ~~hospital administrator of the Administrative Offices, agency medical director, administrator office of community justice,~~ administrator adult parole, superintendent Cornhusker State Industries, manager federal surplus property, ~~superintendent and assistant superintendent~~ warden and corrections assistant superintendent of the Community Correction Center-Omaha, administrator warden of Nebraska Correctional Youth Facility, ~~superintendent~~ corrections superintendent/adult of the Work Ethic Camp McCook, health program manager, agency grants administrator, hospital administrator, accounting and finance manger.
16. Commission on Law Enforcement and Criminal Justice: commission members, executive director, federal aid administrator, division and center directors, division chiefs, members, Jail Standards Board.
17. Dry Bean Commission: commission members, executive director.
18. Economic Development: director, deputy director.
19. Education: commissioner of education, members of the State Board of Education, deputy commissioner, ~~associate commissioner, assistant commissioners,~~ members of the department's leadership council appointed by the commissioner, agency legal counsel.
20. Educational Lands and Funds: board members, executive secretary, General Counsel/Minerals Director, members of the ~~board of appraisers~~ Board of Appraisers.

21. Educational Television Commission: commission members, secretary/general manager.
22. Electrical Board: members, executive director.
23. Engineers and Architects--Board of Examiners: executive director.
24. Nebraska Department of Environmental Quality: ~~environmental quality council~~ Environmental Quality Council members, director, deputy director, assistant director, associate program director, division administrators, section supervisors, ~~auditor II~~, budget officer III, agency legal counsel, ~~accountant III~~, federal aid administrator II, low level radioactive waste program manager, integrated waste financial assurance coordinator, environmental engineer section supervisor, IT manager I, personnel manager II.
25. Nebraska Environmental Trust Board: members, executive director.
- 25 ~~26~~. Equal Opportunity Commission: commission members, executive director.
26. ~~State Fair Board (State Board of Agriculture): executive board, manager.~~
27. Fire Marshal: state fire marshal, chief deputy state fire marshals, assistant state fire marshal, ~~UTS~~ UST manager, business manager, legal counsel, plans examiner.
28. Game and Parks Commission: commission members, director, assistant directors, division chiefs, manager facilities engineering, administrative assistant III-budget and fiscal division, federal aid administrator III.
29. Governor's Office: Governor, chief of staff.
30. Grain Sorghum Development Utilization, and Marketing Board: ~~administrator,~~ board members, executive director.
31. Health and Human Services: ~~Director of Services, Chief Deputy Director of Services, deputy director of mental health, deputy director preventive and community health, medical director, service area director, administrator division of aging, superintendents and assistant superintendents of the Youth Development Centers, parole administrators juvenile services, chief executive officer of Beatrice State Development Center, superintendent or chief~~

~~executive officer and administrator Hastings Regional Center, superintendent or chief executive officer and administrator or business manager Lincoln Regional Center, superintendent or chief executive officer and administrator Norfolk Regional Center, director and guardian officer of each Nebraska Veterans Home, administrator of each Veterans Home, administrator public assistance and food programs, administrator enforcement services, administrator food programs, administrator administrative services, unit manager foster care, child care development administrator. Chief Executive Officer, Director Division of Behavioral Health, Director Division of Children & Family Services, Director Division of Developmental Disabilities, Director Division of Medicaid & Long Term Care, Director Division of Public Health, Director Division of Veterans' Homes, Chief Medical Officer, Deputy Chief Medical Officer, Community Health Chief Administrator, State Board of Health Members, Rural Health Advisory Members, Licensure Administrator, Environmental Health Administrator, Chief Operating Officer, Administrator Communications & Legislative Services, Administrator Financial Services, Administrator Human Resources, Administrator Information Systems & Technology, Administrator Legal Services, Administrator Support Services, Service Area Administrators, Administrator of Each Veterans' Homes, Administrator Economic & Family Support, Administrator Food Programs, Administrator Protection & Safety, Administrator of Each Regional Center, Administrator Community Based Behavioral Health Services, Administrator of Each Youth Rehabilitation & Treatment Centers, Administrator office of Juvenile Services, Administrator Beatrice State Developmental Center, Administrator Unit of Aging, Administrator Long Term Care Programs, Administrator Acute Care Programs.~~

~~32. Health and Human Services Finance and Support Operations: Director of Finance and Support, chief operating officer, administrator of communications and legislative services, administrator of financial services, administrator of human resources, administrator of information systems and technology, administrator of legal services, administrator of medical services, administrator of strategic management services, administrator of support services, administrator of staff and partnership development, assistant director.~~

~~33. Health and Human Services, Division of Regulation and Licensure: Director of Regulation and Licensure, chief medical officer, State Board of Health Members, Rural Health Advisory Commission Members, Credentialing Division Administrator.~~

~~34~~ 32. Commission on the Hearing Impaired: commission members, executive

director.

- 35 33. Nebraska Historical Society: director, associate directors.
- 36 34. Commission on Indian Affairs: executive director.
- 37 35. Insurance Department: director, deputy director, chief financial examiner, chief of market regulation, general counsel.
- 38 36. Investment Council: council members, state investment officer, deputy investment officer.
- 39 37. Labor Department: commissioner of labor, deputy commissioner, division directors.
- 40 38. Board of Examiners for Land Surveyors: secretary to the board.
- 41 39. Library Commission: commission members, director, deputy directors.
- 42 40. Lieutenant Governor's office: Lieutenant Governor.
- 43 41. Liquor Control Commission: commission members, executive director.
- 44 42. Commission on Mexican-Americans: executive director.
- 45 43. Military Department--Office of the Adjutant General: adjutant general/~~civil defense~~ NE Emergency Management director, deputy adjutant general, assistant director/~~civil defense~~ NE Emergency Management, controller.
- 46 44. Motor Vehicles: director, ~~deputy director~~, ~~budget officer III~~ account & finance manager, motor carrier services administrator, highway safety administrator, ~~titles and registration administrator~~, driver & vehicle records administrator, legal counsel, ~~examining program manager~~, driver licensing examining administrator, ~~information systems analysis/supervisor~~, information systems manager, and auditor III.
- 47 45. Motor Vehicle Licensing board: board members, executive director.
- 48 46. Department of Natural Resources Commission: director, deputy director/state hydrologist, chief of engineering, water resources division manager, legal counsel ~~commission~~ Natural Resources Commission members, ~~executive director~~, attorney III, administrative officer, ~~flood plain~~

management engineer.

- 49 ~~47~~. Nebraska Dairy Industry Development Board: members.
- 50 ~~48~~. Oil and Gas Conservation Commission: commission members, director, staff petroleum engineer, oil and gas inspectors, administrative assistant, information systems infrastructure support technician.
- 51 ~~49~~. State Ombudsman: public counsel, deputy public counsel, deputy public counsel for corrections, deputy public counsel for welfare services.
- 52 ~~50~~. Parole Board: board members. (See also Correctional Services)
- 53 ~~51~~. Nebraska State Patrol: superintendent, assistant superintendent, ~~special services major~~, administrative services major, material control manager, carrier enforcement commander, liquor enforcement commander, staff captains, staff lieutenants, attorney III, attorneys II, budget and fiscal officer III, federal aid administrator III, hazardous devices coordinator, investigative services major, agency legal counsel I.
- 54 ~~52~~. Policy Research and Energy Office: director, deputy directors.
- 55 ~~53~~. Coordinating Commission for Postsecondary Education: commission members, director.
- 56 ~~54~~. Power Review Board: board members, executive director/general counsel.
- 57 ~~55~~. State Probation: state probation administrator.
- 58 ~~56~~. State Property Tax Division: property tax administrator.
- 59 ~~57~~. Board of Public Accountancy: executive director.
- 60 ~~58~~. Public Advocacy Commission: chief counsel.
- 61 ~~59~~. Public Service Commission: commissioners, ~~secretary~~, executive director.
- 62 ~~60~~. Nebraska State Racing Commission: commission members, executive secretary, state steward, racing commission investigators, director of investigations and security.
- 63 ~~61~~. Real Estate Property Commission: director, chief deputy director.

- 64 ~~62~~. Real Estate Appraisers Board: executive director.
- 65 ~~63~~. Public Employees Retirement Systems: board members, director.
- 66 ~~64~~. Revenue Department: tax commissioner, ~~personnel administrator, director of legislative policy, director of operations and administrative services division director, revenue special services manager, audit division administrator, charitable gaming division administrator, field services division administrator, finance and management services administrator, agency legal counsel, motor fuels division administrator, revenue economist administrator, revenue operations division administrator, investigations division administrator, lottery and charitable gaming division director, lottery marketing administrator, lottery finance administrator, property tax administrator, compliance division director, deputy tax commissioner .~~
- 67 ~~65~~. Roads Department: director-state engineer, ~~members of the Board of Examiners for County Highway and City Street Superintendents, members of the State Highway Commission, members of the Highway Bond Commission, deputy directors, district engineers, division heads.~~
- 68 ~~66~~. Secretary of State: secretary of state, chief deputy secretary of state, deputy secretary of state for elections, deputy secretary of state for business services, budget and fiscal officer, director deputy secretary of state for records management, deputy director of records management, assistant secretary of state for elections, controller, executive director of the state records board.
69. ~~Commission on the Status of Women: executive director.~~
- 70 ~~67~~. State Surveyor: state surveyor, deputy state surveyor.
71. ~~Task Force for Building Renewal: task force members (consultants); administrator/consultant.~~
- 72 ~~68~~. Tax Equalization and Review Commission: members.
- 73 ~~69~~. State Treasurer: state treasurer, deputy state treasurer.
- 74 ~~70~~. University of Nebraska: members of the Board of Regents (except student members), president, executive vice-president and provost, ~~vice president for~~

~~external affairs and corporation secretary, vice president and general counsel, vice president for business and finance, assistant vice president for business and finance and director of finance, director of risk management, assistant vice president for business and finance and director of internal audit, corporation secretary.~~ University of Nebraska-Lincoln: chancellor, vice chancellor for business and finance, senior vice chancellor for Academic Affairs, vice chancellor for research, vice president and vice chancellor for Agriculture and Natural Resources, ~~dean and director of Cooperative Extension, director of Water Center/Environmental Programs, director of intercollegiate athletics, associate vice chancellor for business and finance, assistant vice chancellor for research and director of technology transfer, assistant vice chancellor for fiscal affairs, assistant vice chancellor for university services, material services administrator-purchasing department, associate vice chancellor for university services, vice chancellor for student affairs, director of facilities management and planning, director of the food processing center.~~ University of Nebraska Medical Center: chancellor, vice chancellor for business and finance, associate vice chancellor for business and finance, vice chancellor for academic affairs, manager of purchasing, vice chancellor for research. University of Nebraska at Omaha: chancellor, vice chancellor for Academic Affairs, vice chancellor for university affairs and communications, vice chancellor for business and finance, assistant vice chancellor for business and finance and director of finance, ~~assistant vice chancellor for business and finance and director of facilities management and planning, director of intercollegiate athletics, manager of purchasing, senior vice chancellor for academic and student affairs, director of facilities management and planning.~~ University of Nebraska-Kearney: chancellor, senior vice chancellor for academic affairs, vice chancellor for business and finance, director of business services, director of intercollegiate athletics, assistant vice chancellor for business and finance and director of human resources.

75 71. Veterans Affairs: director, deputy director.

76. ~~Water Resources: director, deputy director/state hydrologist, chief of engineering, water resources division manager, legal counsel.~~

77 72. Nebraska Wheat Development, Utilization, and Marketing Board: executive director, board members.