

## TITLE 4 - NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION

### CHAPTER 2 - DESIGNATION OF INDIVIDUALS REQUIRED TO FILE STATEMENTS OF FINANCIAL INTERESTS (Adopted October 29, 1999)

#### STATUTORY AUTHORITY

This rule is adopted pursuant to the provisions of sections 49-14,123(1) and 49-1493(11), Revised Statutes of Nebraska.

- 001 **SCOPE AND APPLICATION:** This rule designates the public officials and employees required to file Statements of Financial Interests with the Commission and the county election commissioner or county clerk of the county of their residence pursuant to subdivision (11) of section 49-1493 and includes, but is not limited to, public officials and employees required to file such statements by reason of statutory designation pursuant to the provisions of subdivisions (1) to (10) of said section. See sections 49-1493 to 49-1495, Revised Statutes of Nebraska, for additional requirements as to with whom such Statements of Financial Interests must be filed and when such filings are required. See sections 49-1496 to 49-1497 and NADC Form C-1 for the form and contents of such Statements of Financial Interests.
- 002 **DESIGNATION:** Under the following subdivisions (1) to (11) of section 49-1493, Statements of Financial Interests shall be filed by individuals holding the office of, filing for election to, or appointed to the following:
- 002.01 State Executive Officers and executive department heads, including: Governor, Lieutenant Governor, Secretary of State, Auditor of Public Accounts, State Treasurer, Attorney General, Tax Commissioner, Director of Agriculture, Commissioner of Labor, Members of the State Board of Health, Director-State Engineer for the Department of Roads, Director of Water Resources, Director of Banking and Finance, Director of Insurance, Director of Motor Vehicles, Director of Administrative Services, Director of Correctional Services, Director of Economic Development, Superintendent of the Nebraska State Patrol, Director of Health and Human Services, Director of Regulation and Licensure for the Department of Health and Human Services Regulation and Licensure and Director of Finance and Support for Health and Human Services Finance and Support.
  - 002.02 Commissioner of Education, State Board of Education: Members, Board of Regents of the University of Nebraska except student members, Coordinating Commission for Postsecondary Education: Members.
  - 002.03 Board of Parole: Members.
  - 002.04 Public Service Commission: Commissioners.
  - 002.05 State Senators
  - 002.06 Members of the board of directors and officers of districts organized under Chapter 70, including: Public Power Districts, Public Irrigation Districts, Public Power and Irrigation Districts, Rural Power Districts.

- 002.07 A member of any board or commission of the state or any county which examines or licenses a business or which determines rates for or otherwise regulates a business, including: Nebraska Brand Committee, Motor Vehicle Licensing board, Oil and Gas Conservation Commission, Nebraska State Racing Commission.
- 002.08 A member of a land-use planning commission, zoning commission, or authority of the state or any county with a population of more than one hundred thousand inhabitants, including: county planning commissions and city-county (joint) planning commissions with a population of more than one hundred thousand inhabitants, Natural Resources Commission, Environmental Quality Council, county boards of adjustment with a population of more than one hundred thousand inhabitants.
- 002.09 Elected county officials, including: commissioners, supervisors, attorney, sheriff, register of deeds, clerk, clerk of the district court, superintendent of schools, engineer, assessor, public defender, treasurer, surveyor, weed control authority boards.
- 002.10 Elected officials of a city of the primary or metropolitan class.
- 002.11 The officials or employees of the state hereafter designated (includes state officials listed in 002.01 through 002.10 above):
1. Accountability and Disclosure Commission: Commission members, executive director, general counsel, auditors.
  2. Administrative Services: director, division administrators and directors, personnel administrator. Material Division: state printer, office services manager, state recycling coordinator. Personnel Division: classification and compensation administrator, recruitment/affirmative action administrator, personnel planning and research administrator. Chief negotiator Division of Employee Relations, Manager Capitol Restoration Program, Risk Manager/Risk Management Division, members of the Task Force for Building Renewal, members of the State Building Advisory Commission.
  3. Aeronautics: director, deputy director, deputy director operations, state airport engineer.
  4. Agriculture: director, assistant director, state veterinarian, deputy state veterinarian, state entomologist, administrative manager, division heads, legal counsel, budget and fiscal officer.
  5. Nebraska Arts Council: members, executive director, associate directors.

6. Athletic Commission: commissioner.
7. Attorney general: attorney general, deputy attorneys general, assistant attorneys general, executive assistant/office manager.
8. Auditor of Public Accounts: auditor of public accounts, deputy auditor, assistant deputy auditors, audit managers, Finance Manager, Legal Counsel.
9. Banking and Finance: director, deputy director-financial institutions, assistant director-securities, general counsel, Information Technology Manager, business manager.
10. Board of Barber Examiners: director.
11. Nebraska Brand Committee: committee members, executive director/chief inspector.
12. Collection Agency Licensing Board: members
13. Colleges--Board of Trustees: members of the board of trustees, executive director, associate director of fiscal and facilities management, state college presidents, vice-presidents for administration and finance, vice-presidents for academic affairs, associate director of academic affairs and legal services, purchasing agents, directors of administrative services.
14. Corn Development, Utilization, and Marketing Board: administrator, board members.
15. Correctional Services: director, assistant directors, wardens, deputy and associate wardens of Nebraska State Penitentiary, Nebraska Center for Women and Lincoln Correctional Center, superintendents and assistant superintendents of the community Corrections Center-Lincoln, Hastings Correctional Center, Omaha Correctional Center, facility engineering manager, agency legal counsel, corrections material administrator, accounting and finance manager, corrections accreditation and planning manager, hospital administrator of the Administrative Offices, administrator office of community justice, administrator adult parole, superintendent Cornhusker State Industries, manager federal surplus property, superintendent and assistant superintendent of Community Correction Center-Omaha, administrator of Nebraska Correctional Youth Facility, superintendent of the Work Ethic Camp McCook.

16. Commission on Law Enforcement and Criminal Justice: commission members, executive director, federal aid administrator, division and center directors, division chiefs, members, Jail Standards Board.
17. Dry Bean Commission: commission members, executive director.
18. Economic Development: director, deputy director.
19. Education: commissioner of education, members of the State Board of Education, deputy commissioner, associate commissioner, assistant commissioners, members of the department's leadership council appointed by the commissioner, agency legal counsel. (See also: Professional Practices Commission)
20. Educational Lands and Funds: board members, executive secretary, General Counsel/Minerals Director, members of the board of appraisers.
21. Educational Television Commission: commission members, secretary/general manager.
22. Electrical Board: members, executive director.
23. Engineers and Architects--Board of Examiners: executive director.
24. Nebraska Department of Environmental Quality: environmental quality council members, director, deputy director, assistant director, associate director, division administrators, section supervisors, auditor II, budget officer III, agency legal counsel, accountant III, federal aid administrator II, low level radioactive waste program manager, integrated waste financial assurance coordinator.
25. Equal Opportunity Commission: commission members, executive director.
26. State Fair Board (State Board of Agriculture): executive board, manager.
27. Fire Marshal: state fire marshal, chief deputy state fire marshals, assistant state fire marshal, UTS manager, business manager, legal counsel, plans examiner.
28. Game and Parks Commission: commission members, director, assistant directors, division chiefs, manager facilities engineering, administrative

assistant III-budget and fiscal division, federal aid administrator III.

29. Governor's Office: Governor, chief of staff.
30. Grain Sorghum Development Utilization, and Marketing Board: administrator, board members.
31. Health and Human Services: Director of Services, Chief Deputy Director of Services, deputy director of mental health, deputy director preventive and community health, medical director, service area director, administrator division of aging, superintendents and assistant superintendents of the Youth Development Centers, parole administrators juvenile services, chief executive officer of Beatrice State Development Center, superintendent or chief executive officer and administrator Hastings Regional Center, superintendent or chief executive officer and administrator or business manager Lincoln Regional Center, superintendent or chief executive officer and administrator Norfolk Regional Center, director and guardian officer of each Nebraska Veterans Home, administrator of each Veterans Home, administrator public assistance and food programs, administrator enforcement services, administrator food programs, administrator administrative services, unit manager foster care, child care development administrator.
32. Health and Human Services Finance and Support: Director of Finance and Support, administrator of communications and legislative services, administrator of financial services, administrator of human resources, administrator of information systems and technology, administrator of legal services, administrator of medical services, administrator of strategic management services, administrator of support services, administrator of staff and partnership development, assistant director.
33. Health and Human Services, Division of Regulation and Licensure: Director of Regulation and Licensure, chief medical officer, State Board of Health Members, Rural Health Advisory Commission Members, Credentialing Division Administrator.
34. Commission on the Hearing Impaired: commission members, executive director.

35. Nebraska Historical Society: director.
36. Commission on Indian Affairs: executive director.
37. Insurance Department: director, deputy director, chief examiner, general counsel.
38. Investment Council: council members, state investment officer, deputy investment officer.
39. Labor Department: commissioner of labor, deputy commissioner, division directors.
40. Board of Examiners for Land Surveyors: secretary to the board.
41. Library Commission: commission members, director, deputy directors.
42. Lieutenant Governor's office: Lieutenant Governor.
43. Liquor Control Commission: commission members, executive director.
44. Commission on Mexican-Americans: executive director.
45. Military Department--Office of the Adjutant General: adjutant general/civil defense director, deputy adjutant general, assistant director/civil defense, controller.
46. Motor Vehicles: director, budget officer III, motor carrier services administrator, highway safety administrator, titles and registration administrator, legal counsel, examining program manager, information systems analysis/supervisor, and auditor III.
47. Motor Vehicle Licensing board: board members, executive director.
48. Natural Resources Commission: commission members, executive director, attorney III, administrative officer, flood plain management engineer.
49. Nebraska Dairy Industry Development Board: members.
50. Oil and Gas Conservation Commission: commission members, director, staff petroleum engineer, oil and gas inspectors, administrative assistant.

51. State Ombudsman: public counsel, deputy public counsel, deputy public counsel for corrections, deputy public counsel for welfare services.
52. Parole Board: board members. (See also Correctional Services)
53. Nebraska State Patrol: superintendent, assistant superintendent, special services major, material control manager, carrier enforcement commander, liquor enforcement commander, staff captains, staff lieutenants, attorney III, attorneys II, budget and fiscal officer III, federal aid administrator III, hazardous devices coordinator, investigative services major.
54. Policy Research and Energy Office: director, deputy directors.
55. Coordinating Commission for Postsecondary Education: commission members, director.
56. Power Review Board: board members, executive director/general counsel.
57. State Probation: state probation administrator.
58. State Property Tax Division: property tax administrator.
59. Board of Public Accountancy: executive director.
60. Public Advocacy Commission: chief counsel.
61. Public Service Commission: commissioners, secretary.
62. Nebraska State Racing Commission: commission members, executive secretary, state steward, racing commission investigators, director of investigations and security.
63. Real Estate Commission: director, chief deputy director.
64. Real Estate Appraisers Board: executive director.
65. Public Employees Retirement Systems: board members, director.
66. Revenue Department: tax commissioner, personnel administrator, director\_of legislative policy, director of operations, revenue special services\_manager, audit division administrator, charitable gaming division administrator, field services division administrator, finance and management\_\_services

administrator, agency legal counsel, motor fuels division administrator, revenue economist administrator, revenue operations division administrator, investigations division administrator, lottery director, lottery marketing administrator, lottery finance administrator.

67. Roads Department: director-state engineer, members of the Board of Examiners for County Highway and City Street Superintendents, members of the State Highway Commission, members of the Highway Bond Commission, deputy directors, district engineers.
68. Secretary of State: secretary of state, deputy secretary of state, budget and fiscal officer, director of records management, deputy director of records management, assistant secretary of state for elections.
69. Commission on the Status of Women: executive director.
70. State Surveyor: state surveyor, deputy state surveyor.
71. Task Force for Building Renewal: task force members (consultants), administrator/consultant.
72. Tax Equalization and Review Commission: members.
73. State Treasurer: state treasurer, deputy state treasurer.
74. University of Nebraska: members of the Board of Regents (except student members), president, executive vice-president and provost, vice president for external affairs and corporation secretary, vice president and general counsel, vice president for business and finance, assistant vice president for business and finance and director of finance, director of risk management. University of Nebraska-Lincoln: chancellor, vice chancellor for business and finance, senior vice chancellor for Academic Affairs, vice chancellor for research, vice president and vice chancellor for Agriculture and Natural Resources, dean and director of Cooperative Extension, director of Water Center/Environmental Programs, director of intercollegiate athletics, associate vice chancellor for business and finance, assistant vice chancellor for research and director of technology transfer, assistant vice chancellor for fiscal affairs, assistant vice chancellor for university services, material services administrator-purchasing department. University of Nebraska Medical Center: chancellor, vice chancellor for business and finance, associate vice chancellor for business and finance, vice chancellor for

academic affairs, manager of purchasing. University of Nebraska at Omaha: chancellor, vice chancellor for Academic Affairs, vice chancellor for university affairs and communications, vice chancellor for business and finance, assistant vice chancellor for business and finance and director of finance, assistant vice chancellor for business and finance and director of facilities management and planning, director of intercollegiate athletics, manager of purchasing. University of Nebraska-Kearney: chancellor, senior vice chancellor for academic affairs, vice chancellor for business and finance, director of business services, director of intercollegiate athletics.

75. Veterans Affairs: director, deputy director.
76. Water Resources: director, deputy director/state hydrologist, chief of engineering, water resources division manager, legal counsel.
77. Nebraska Wheat Development, Utilization, and Marketing Board: executive director, board members.