

IMPORTANT – The information contained in this CFLA Candidate Brochure applies only to candidates for the State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General. Candidates for other state offices should refer to the CFLA Candidate Brochure for the office sought.

NOTE: This brochure does not address all filing requirements for candidates. Please obtain a copy of the Candidate Brochure for 2010.

SECTION I

Summary of the Campaign Finance Limitation Act For the 2010 Elections – State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General

For the 2010 elections, candidates for the State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General are covered under the Campaign Finance Limitation Act (the CFLA).

For State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates in the 2010 elections:

- The election period begins July 1, 2009 and ends December 31, 2010;
- The primary election period begins July 1, 2009 and ends June 15, 2010;
- The general election period begins June 16, 2010 and ends December 31, 2010.

The CFLA establishes **voluntary** limits on campaign expenditures. For State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates in the 2010 elections, these voluntary limits are:

- \$107,500 of restricted spending for the primary election period.
- \$215,000 of restricted spending for the election period (including the \$107,500 for the primary election period).

Restricted spending includes all expenditures except "unrestricted spending". "Unrestricted spending" consists of:

- Gifts of acknowledgement; and
- Conference fees, meals, lodging, and travel by an officeholder and his or her staff when involved in activities related to the duties of his or her public office.

Candidates who choose to abide by the voluntary expenditure limits of the CFLA may become eligible to receive public funds for their campaigns. This choice is accomplished by filing a *Candidate's Affidavit to Abide by Spending Limits* with the Nebraska Accountability and Disclosure Commission (the Commission). The choice to abide is non-reversible.

Abiding candidates who wish to receive public funds must file an *Application for Public Funds* with the Commission. Public funds will be distributed only if specific statutory criteria are met. Expenditures of public funds are not counted against the voluntary expenditure limits of the CFLA.

Candidates who choose not to abide by the voluntary expenditure limits of the CFLA cannot become eligible for public funds. This choice is accomplished by filing a *Candidate's Affidavit Not to Abide by Spending Limits* with the Commission. The choice not to abide is non-reversible.

Non-abiding candidates must estimate their maximum restricted spending for the primary election period and the general election period. These estimates will be used to determine the amount of public funds that their opponents may be eligible to receive. Non-abiding candidates must also file Affidavits with the Commission indicating when their total restricted expenditures equal or exceed 40% of the spending limit for the primary election period and for the general election period.

The CFLA also establishes **mandatory** limits on the total contributions that candidates may receive from "persons other than individuals". For the 2010 elections, this aggregate contribution limit that applies to all State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates is \$107,500 for the election period (primary plus general).

SECTION II

Candidate's Affidavits to Abide or Not to Abide by Spending Limits

The CFLA establishes voluntary limits on campaign expenditures by candidates for State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General. For the 2010 elections, the voluntary expenditure limits that apply to State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates are \$107,500 of restricted spending for the primary election period, and \$215,000 of restricted spending for the election period (primary plus general). Any State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who desire to receive public funds pursuant to the CFLA must abide by these voluntary limits on restricted spending.

State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates must decide whether or not to abide by the voluntary expenditure limits of the CFLA. State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who choose to abide by those limits must file a *Candidate's Affidavit to Abide by Spending Limits* (NADC Form CFLA-1). State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who choose not to abide by those limits must file a *Candidate's Affidavit Not to Abide by Spending Limits* (NADC Form CFLA-2).

For the 2010 elections, State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who have an existing candidate committee registered with the Commission as of June 30, 2009, must file either their Affidavit to Abide or their Affidavit Not to Abide with the Commission on or before July 1, 2009. State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who do not have an existing candidate committee as of June 30, 2009, must file either their Affidavit to Abide or their Affidavit Not to Abide with the Commission within 10 days after raising, receiving or expending more than \$5,000 for their campaign during a calendar year. Under the CFLA, failure to file either an Affidavit to Abide or an Affidavit Not to Abide as required is subject to late filing fees of \$25 per day, not to exceed \$750.

NOTE: See Candidate Brochure for 2010 for other filing requirements.

State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who are not required to form a candidate committee (candidates who have not raised, received, or spent more than \$5,000 during a calendar year) are not required to file either an Affidavit to Abide or an Affidavit Not to Abide.

Under the CFLA, State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates must file a copy of their Affidavit to Abide or their Affidavit Not to Abide with the Commission. The election official shall not accept any State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidate's filing to appear on the ballot if the appropriate Affidavit is not on file with the Commission.

State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who file an Affidavit Not to Abide must also attach an *Estimate of Expenditures for the Primary Election* (NADC Form CFLA-4), stating a reasonable estimate of their maximum restricted expenditures for the primary election period. For the 2010 elections, the primary election period begins July 1, 2009, and ends June 15, 2010. Estimates for the primary election period may be amended by filing an *Amended Estimate of Expenditures* (NADC Form CFLA-6) on or before April 12, 2010. In addition, such candidates must file a *Candidate's Forty Percent Expenditure Affidavit* (NADC Form CFLA-7) with the Commission within 2 days after spending 40% of the expenditure limit for the primary election period. Forty Percent of \$107,500 is \$43,000.

State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who file an Affidavit Not to Abide and who advance to the general election must file an *Estimate of Expenditures for the General Election* (NADC Form CFLA-5) with the Commission on or before June 21, 2010, stating a reasonable estimate of their maximum restricted expenditures for the general election period. For the 2010 elections, the general election period begins June 16, 2010, and ends December 31, 2010. Estimates for the general election period may be amended by filing an *Amended Estimate of Expenditures* on or before September 3, 2010. In addition, such candidates must file a *Candidate's Forty Percent Expenditure Affidavit* with the Commission within 2 days after

spending 40% of the expenditure limit for the general election period. Forty Percent of \$107,500 is \$43,000.

The estimated and actual expenditures of State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who choose not to abide by the voluntary expenditure limits of the CFLA will be used to determine the amount of public funds, if any, that those candidates' opponents may be eligible to receive during the 2010 elections.

EXAMPLE 1: Candidates A and B both file for Secretary of State. Candidate A chooses to abide by the spending limits of the CFLA. Candidate B chooses not to abide by the spending limits of the CFLA, and estimates maximum restricted expenditures of \$120,500 for the primary election period.

If Candidate A abides by the spending limits of the CFLA and meets other qualifying criteria, and if Candidate B makes at least \$43,000 [40% of \$107,500] of restricted expenditures during the primary election period, then Candidate A will be eligible for \$13,000 in public funds for the Primary Election, calculated as follows:

Estimated restricted expenditures by Candidate B, primary	\$120,500
Expenditure limit for the primary election period	<u>-107,500</u>
Public funds payable to Candidate A, primary election	\$13,000

EXAMPLE 2: Candidates C and D both file for Auditor of Public Accounts. Candidate C chooses to abide by the spending limits of the CFLA. Candidate D chooses not to abide by the spending limits of the CFLA, and estimates maximum restricted expenditures of \$105,000 for the primary election period. In addition, Candidate D's actual spending does not exceed the spending limit. No public funds are distributed in the primary election. Candidates C and D both advance to the General Election. Candidate D estimates maximum restricted expenditures of \$150,000 for the general election period.

If Candidate C abides by the spending limits of the CFLA and meets other qualifying criteria, and if Candidate D makes at least \$43,000 [40% of \$107,500] of restricted expenditures during the general election period, then Candidate C will be eligible for \$42,500 in public funds for the General Election, calculated as follows:

Estimated restricted expenditures by Candidate D, general	150,000
Expenditure limit for the general election period	<u>-107,500</u>
Public funds payable to Candidate C, general election	\$42,500.

EXAMPLE 3: Candidates E and F both file for State Treasurer. Candidate E chooses to abide by the spending limits of the CFLA and meets other qualifying criteria. Candidate F chooses not to abide by the spending limits of the CFLA, and estimates maximum restricted expenditures of \$100,000 for the primary election period. Candidate F's 2nd

Primary Campaign Statement shows he spent \$125,000. Candidate E will be eligible for \$17,500 in public funds for the Primary Election calculated as follows:

Actual restricted expenditures by Candidate F, primary	125,000
Expenditure limit for the primary election period	<u>-107,500</u>
Public funds payable to Candidate E, primary election	17,500

SECTION III

Applications for Public Funds

State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who have filed a *Candidate's Affidavit to Abide by Spending Limits* will be eligible to receive public funds for their campaigns if at least one of their opponents has filed a *Candidate's Affidavit Not to Abide by Spending Limits* and:

- has estimated maximum expenditures which exceed the voluntary expenditure limits of the CFLA (\$107,500 of restricted spending for the primary election period, and \$107,500 of restricted spending for the general election period); and
- Has spent at least 40% of the spending limit for the election.

OR

- Has spent more than \$107,500 as disclosed by any campaign statement

To qualify for public funds, State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates who have filed a *Candidate's Affidavit to Abide by Spending Limits* must also:

- Raise at least \$53,750 in qualifying contributions from persons who are "residents" of Nebraska, of which at least \$34,937.50 must be raised from individuals. The term "resident" includes a business which has an office in the State and transacts business here. NOTE: Qualifying contributions do not include money raised prior to the filing of an Affidavit to Abide or money raised prior to July 1, 2009.
- Make at least \$53,750 of qualifying expenditures. NOTE: Qualifying expenditures do not include expenditures made prior to the filing of an Affidavit to Abide or expenditures made prior to July 1, 2009.
- File an *Application for Public Funds* (NADC Form CFLA-8) with the Commission. The deadline to apply for public funds for the Primary Election is April 13, 2010. The deadline to apply for public funds for the General Election is September 7, 2010.
- When directed by the Commission, provide campaign records to the Commission staff. The Commission staff will determine if the requisite contributions have been

raised and the requisite expenditures made. Any candidate desiring to receive public funds shall keep **detailed accounts, records, bills, and receipts** to substantiate the information in the *Application for Public Funds*.

Qualifying Contributions:

In general, qualifying contributions are contributions as defined by §49-1415 of the Nebraska Political Accountability and Disclosure Act (the NPADA). This definition provides that a contribution includes a payment of money or anything of monetary value that is given for the purpose of influencing the nomination or election of a candidate.

Qualifying contributions do not include:

- Unpaid pledges;
- Loans;
- Contributions from any person who is not a "resident" of Nebraska; or
- Contributions for which the candidate's treasurer has not maintained a record of the contributor's name and address, and the amount and date of the contribution.

Contributions made by the candidate, other than campaign loans or the use of the candidate's homestead in the campaign, are considered qualifying contributions.

Contributions of \$50 or less received in currency at fundraising events pursuant to §49-1472(2) of the NPADA shall be considered qualifying contributions only if a written record of the contributions is maintained. This written record must include the contributor's name and address, the amount contributed, and the date the contribution was made. Also, the contributor must sign this written record.

EXCEPTION: Contributions of \$50 or less received in currency at fundraising events will be considered qualifying contributions without a written record, up to a total of \$1,800 for the election period (primary plus general).

Qualifying Expenditures:

In general, qualifying expenditures are expenditures made by a candidate committee in support of the candidate's nomination or election to office.

Qualifying expenditures do not include:

- Accounts payable or other expenses incurred but not paid; or
- Unrestricted spending.

Unrestricted spending, as defined by the CFLA, consists of:

- Gifts of acknowledgment, including flowers and charitable contributions, except that gifts to any individual shall not exceed \$50 in any calendar year; and
- Meals, lodging, and travel by officeholders and their staff for activities related to the duties of their public office.

Loans in Anticipation of the Receipt of Public Funds

An abiding candidate, who has been notified by the Commission that public funds are in the process of being distributed to the candidate, may obtain a loan in anticipation of the receipt of public funds. The public funds, upon receipt, may be used to repay the loan. Under such circumstances, the use of the loan funds does not count against the spending limit. The portion of the loan which equals or is less than the amount of the anticipated public funds is not subject to the loan limits of §49-1446.04. A loan accepted under these circumstances is subject to reporting as a late contribution. See the 2010 Candidate Brochure for more information about late contributions.

Example: Candidate A is an abiding candidate who previously submitted her application for public funds, has had her records reviewed by the Commission, has been advised that she has met all qualifications and is eligible for \$15,000 in public funds. She has been advised that she will receive public funds upon the filing of a Forty Percent Affidavit by her opponent. On late Friday afternoon, 11 days before the election, the Commission calls and tells her that the Forty Percent Affidavit has arrived and public funds are being distributed. However, because of the hour, public funds will not likely reach her account until Monday or Tuesday. On Saturday, Candidate A goes to her bank and borrows \$11,000 to pay for a campaign mailing scheduled to go out that day. On Monday the public funds arrive and Candidate A uses the public funds to repay the loan. Candidate A also reports the loan as a late contribution to her committee by filing Form B-5.

Distribution of Public Funds:

For the 2010 Primary Election, public funds are scheduled to be disbursed to eligible, qualifying candidates no sooner than April 13, 2010 and no later than 14 days after the election. For the 2010 General Election, public funds are scheduled to be disbursed to eligible, qualifying candidates no sooner than September 7, 2010 and no later than 14 days after the election.

The Commission will compute the amount of any payment to be made to a candidate based upon the difference between the expenditure limits of the CFLA and the highest estimate of maximum expenditures filed by a State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidate **or** the difference between the spending limit and the highest amount of expenditures by a State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidate in excess of the spending limit, whichever is greater. For the primary election, no candidate shall be eligible for public funds in an amount which is more than three times the primary spending limit. For the general election, no candidate shall be eligible for public funds in an amount which is more than three times the general spending limit. Applications for public funds will be processed in order of receipt by the Commission. Available funds will be distributed to qualifying candidates in the order of the completion of the processing and review of the applications by the Commission.

If all candidates for the same office choose to abide by the expenditure limits of the CFLA, then no public funds will be disbursed to any candidates for that office.

If all candidates for the same office choose not to abide by the expenditure limits of the CFLA, then no public funds will be disbursed to any candidates for that office.

SECTION IV

Use and Reporting of Public Funds

Candidates who receive public funds under the CFLA shall maintain detailed records as to the receipt and expenditure of the public funds. These public funds must be kept in a separate account in a financial institution in Nebraska. These public funds may not be commingled with any other funds, including other campaign funds. NOTE: Candidates who receive public funds under the CFLA will have two campaign accounts.

The use of public funds distributed to candidates under the CFLA is more restricted than the use of funds from other sources. Public funds provided to candidates under the CFLA may only be used to make expenditures for the following purposes:

- Services from a communications medium, including production costs;
- Printing, photography, graphic arts, or advertising services;
- Office supplies;
- Postage and other delivery services;
- Meals, lodging, and travel expenses;
- Campaign staff salaries; and
- Repayment of loans secured upon being notified public funds are to be distributed.

Candidates who receive public funds under the CFLA must file *Reports of Expenditures of Public Funds* (NADC Form CFLA-9) with the Commission to disclose how the public funds were used. These reports are required to be filed as follows:

- Candidates who receive public funds for the 2010 Primary Election must file a *Report of Expenditures of Public Funds* on or before June 21, 2010. The closing date for this report is June 15, 2010.

Candidates must also return any unexpended public funds for the 2010 Primary Election along with this report, unless the Commission specifically grants the candidate permission to offset those funds against public funds to be awarded for the 2010 General Election.

- Candidates who receive public funds for the 2010 General Election must file a *Report of Expenditures of Public Funds* on or before October 25, 2010. The closing date for this report is October 18, 2010.

- Candidates who receive public funds for the 2010 General Election must file an additional *Report of Expenditures of Public Funds* on or before December 31, 2010. The closing date for this report is December 31, 2010.

Candidates must also return any unexpended public funds for the 2010 elections along with this report. No public funds for the 2010 elections may be expended after December 31, 2010.

SECTION V

Aggregate Contribution Limits

During the election period, State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates may not accept contributions from "persons other than individuals" which, when aggregated, are in excess of \$107,500. These aggregate contribution limits are mandatory and apply to all State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates.

For the 2010 elections, the election period for State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates begins July 1, 2009, and ends December 31, 2010.

"Persons other than individuals" includes the following:

- Political parties;
- Independent political committees (PACs);
- Other candidate committees;
- Businesses, including partnerships and sole proprietorships;
- Corporations;
- Labor unions;
- Industry, trade, or professional associations; and
- Other organizations.

Once the aggregate contribution limit is reached, State Treasurer, Secretary of State, Auditor of Public Accounts and Attorney General candidates may accept contributions only from individuals for the remainder of the election period.

SECTION VI

SPECIAL CAMPAIGN STATEMENT, NON-ABIDING CANDIDATES

Non-abiding candidates are required to file a third pre-election campaign statement. For the 2010 primary election the statement is due on May 5, 2010 and has a closing date of May 3, 2010. For the 2010 general election the statement is due on October 27, 2010 and has a closing date of October 25, 2010. **This statement must be filed such that it is received on or before the due date.** Unlike other filings with the Commission, the fact that it is postmarked on or before the due date is not sufficient. The statement must

actually be received by the Commission. The information on this statement should be re-reported on the post-election campaign statement. This report should be made on Form B-1A entitled *Special Campaign Statement*. **Important:** Failure to timely file a *Special Campaign Statement* results in a late filing fee of \$25 for each day the statement remains not filed, not to exceed \$750. There is an additional penalty if the non-abiding candidate's failure to timely file a *Special Campaign Statement* results in an abiding candidate not receiving public funds or results in a delay in the receipt of the public funds. Under such circumstances the Commission shall assess a civil penalty of not less than \$2,000.00 and not more than three times the amount of public funds the abiding candidate was prevented from receiving or delayed in receiving as the result of the failure to timely file a *Special Campaign Statement*.

SECTION VII

Term Limited Officeholders

An officeholder who holds a position which will be filled by election in 2010 and who is barred from seeking reelection to that position by the term limits provision of the Nebraska State Constitution is not required to file an affidavit under the CFLA. The Secretary of State, Auditor of Public Accounts and Attorney General are not subject to term limits. The State Treasurer is subject to term limits

SECTION VIII

Enforcement of the CFLA

Violations of the CFLA may subject candidates and other persons to penalties as follows:

- Any candidate who receives public funds under the CFLA and exceeds the expenditure limits of the CFLA by less than 5% shall repay the amount by which the limit was exceeded. In addition, any such candidate and/or campaign treasurer shall be subject to civil penalties of not more than \$2,000.
- Any candidate who receives public funds under the CFLA and exceeds the expenditure limits of the CFLA by 5% or more shall repay the entire amount of public funds received, with interest. Any such candidate, and his/her campaign treasurer, shall be guilty of a Class II misdemeanor. In addition, any such candidate and/or campaign treasurer shall be subject to civil penalties of either \$2,000 or 10% of the amount by which the expenditure limit was exceeded, whichever is greater.
- Any candidate who files either a *Candidate's Affidavit Not to Abide by Expenditure Limits* or an Estimate of Expenditures for any election that he/she knows contains a material element which is false shall be guilty of a Class IV felony.

- Any person who violates any other provision of the CFLA, not otherwise specified, shall be subject to civil penalties of not more than \$2,000 per violation.

Questions about the CFLA may be directed to the Commission.

SECTION IX

Advisory Opinions

Any person or committee directly covered or affected by the provisions of the CFLA may apply to the Commission for an Advisory Opinion.

A request for an Advisory Opinion must be in writing, and must set forth a specific transaction or activity that the person or committee requesting the opinion either plans to undertake or is presently undertaking and intends to continue in the future. A request for an Advisory Opinion should include a complete description of all the relevant facts involved in the transaction or activity at issue. Requests regarding general questions about or interpretations of the CFLA, hypothetical situations, or the activities of third parties will not be considered as requests for an Advisory Opinion.

Unless amended or revoked, an Advisory Opinion rendered by the Commission is binding upon the Commission in any subsequent charges before the Commission concerning the person or committee who requested the opinion and, in good faith, acted on reliance of that opinion (unless material facts were omitted or misstated in the request).

SECTION X

CFLA Forms

The following CFLA filing forms are included in this guide:

- NADC Form CFLA-1 – *Candidate’s Affidavit to Abide by Spending Limits*
- NADC Form CFLA-2 – *Candidate’s Affidavit Not to Abide by Spending Limits*
- NADC Form CFLA-3 - *Candidate’s Exemption Statement*
- NADC Form CFLA-4 – *Estimate of Expenditures for the Primary Election*

The following CFLA filing forms are not included in this guide, but may be obtained from the Commission upon request:

- NADC Form CFLA-5 – *Estimate of Expenditures for the General Election*
- NADC Form CFLA-6 – *Amended Estimate of Expenditures*
- NADC Form CFLA-7 – *Candidate’s Forty Percent Expenditure Affidavit*
- NADC Form CFLA-8 – *Application for Public Funds*
- NADC Form CFLA-9 – *Report of Expenditures of Public Funds*
- NADC Form B-1A - *Special Campaign Statement*

Additional reporting forms are available from the Commission. **Forms may also be downloaded on to your personal computer from the Commission's website at <http://nadc.nol.org>.** Click on the Campaign Finance tab. Then click on "Download Forms" and click on the form you need. Once downloaded, you may complete the form on your personal computer and print it. Certain forms must be signed in the presence of a notary public.

IMPORTANT: The Commission revised all of its prescribed reporting forms. Please be sure to use the current version of these reporting forms for the candidate's campaign filings. Be sure that the form you are using pertains to the office you are seeking.

SECTION XI

CALENDAR OF IMPORTANT DATES – 2010 ELECTIONS **Candidates for State Treasurer, Secretary of State, Auditor of Public Accounts and** **Attorney General**

July 1, 2009 Start of the primary election period.

July 1, 2009 **Abiding Candidates** with committees as of June 30, 2009 –
Due date for the *Candidate's Affidavit to Abide by Spending*
Limits.

NOTE – failure to file an Affidavit to Abide is subject to late filing fees of
\$25 per day, not to exceed \$750.

July 1, 2009 **Non-Abiding Candidates** with committees as of June 30,
2009 – Due date for the *Candidate's Affidavit Not to Abide*
by Spending Limits, along with an *Estimate of Expenditures*
for the Primary Election.

NOTE – Unrestricted spending [expenditures for gifts of acknowledgement
and for officeholder travel] should not be included in this Estimate of
Expenditures.

NOTE – **Non-abiding candidates** must also file a *Candidate's Forty*
Percent Expenditure Affidavit within 2 days after spending 40% or more of
the spending limitation for the primary election period.

NOTE – failure to file an Affidavit Not to Abide is subject to late filing fees
of \$25 per day, not to exceed \$750.

February 1, 2010 **All Candidates** with committees as of December 31, 2009 –
Due date for the 2009 Annual *Campaign Statement* (for the
period ending December 31, 2009). **Candidates who had**
campaign receipts or expenditures prior to July 1, 2009
must file two Annual Campaign Statements for calendar
year 2009. The first covers the period ending June 30,
2009. The second statement covers the period ending
December 31, 2009.

NOTE – the reporting period for a committee's initial *Campaign Statement*
begins with the day the committee first raised, received, or spent any
funds. The reporting period for each subsequent *Campaign Statement*
begins with the day following the end of the previous reporting period.

NOTE – by law, a candidate committee is formed when a candidate raises, receives, or spends more than \$5,000 during a calendar year. A candidate committee must file a *Statement of Organization of a Political Committee* within 10 days after the candidate committee is formed.

NOTE – **All candidates** who did not have a committee as of June 30, 2009, must also file either a *Candidate's Affidavit to Abide by Spending Limits* or a *Candidate's Affidavit Not to Abide by Spending Limits* as an attachment to their committee's Statement of Organization.

NOTE – **All candidates** must present a copy of their *Statement of Financial Interests* for the preceding calendar year to the Secretary of State at the time they file to run for office.

NOTE – **All candidates with committees** must present a copy of their *Candidate's Affidavit to Abide by Spending Limits* or their *Candidate's Affidavit Not to Abide by Spending Limits* (whichever the candidate filed) to the Secretary of State at the time they file to run for office.

April 1, 2010 **All Candidates** who filed to run for office during 2009 – Due Date for the 2009 *Statement of Financial Interests* (covering calendar year 2009).

NOTE – **All candidates** who filed during 2010 to run for office would have filed a *Statement of Financial Interests* covering 2009. These candidates need not file a new Statement of Financial Interests.

April 11, 2010 Start of the period for filing Statements of Organization within 2 days. Any candidate committee formed during the 30 days immediately preceding the election must file a Statement of Organization within 2 days.

April 12, 2010 **All primary election Candidates** with committees as of April 6, 2010 – Due Date for the 1st Primary Election *Campaign Statement* (for the period ending April 6, 2010).

April 12, 2010 **Non-Abiding Candidates** – Deadline to file an *Amended Estimate of Expenditures* for the primary election period.

April 13, 2010 **Abiding Candidates** – Deadline to file an *Application for Public Funds* for the primary election period.

April 13, 2010 **Abiding Candidates** who qualified for public funds for the primary election – Scheduled first day of distribution of public funds for the primary election period.

April 27, 2010 Start of the late contribution window for the primary election.

NOTE – a “late contribution” is a contribution of \$1,000 or more received after the closing date of the last campaign statement prior to an election. A *Report of Late Contribution* is due 2 days after the receipt of any “late contribution”.

May 3, 2010 **All primary election Candidates** with committees as of April 26, 2010 – Due Date for the 2nd Primary Election *Campaign Statement* (for the period ending April 26, 2010).

May 5, 2010 Non-abiding candidates, due date for *Special Campaign Statement*, Form B-1A (Closing date of May 3, 2010). It must be **received** by the Commission on or before the due date.

May 10, 2010 Last day of the late contribution window for the primary election. Last day of period for filing Statements of Organization within 2 days.

May 11, 2010 **PRIMARY ELECTION DAY**

June 15, 2010 End of the primary election period.

June 16, 2010 Start of the general election period.

June 21, 2010 **All primary election Candidates** with committees as of June 15, 2010 – Due Date for the Post Primary Election *Campaign Statement* (for the period ending June 15, 2010).

NOTE – **Any candidates** who did not advance to the general election are encouraged to dissolve their candidate committees as soon as possible after the primary election.

June 21, 2010 **Abiding Candidates** who received public funds for the primary election – Due Date for the Primary Election *Report of Expenditures of Public Funds* (for the period ending June 15, 2010), and Deadline for remittance of any unused public funds (unless permission is obtained from the NADC to hold these funds for use in the general election period).

June 21, 2010 **Non-Abiding Candidates** who advanced to the general election – Deadline for the *Estimate of Expenditures for the General Election*.

NOTE – **Non-abiding candidates** must file a *Candidate's Forty Percent Expenditure Affidavit* within 2 days after their expenditures for the general election equal or exceed 40% of the spending limit for the general election period.

September 3, 2010 **Non-Abiding Candidates** – Deadline to file an *Amended Estimate of Expenditures* for the general election period.

September 7, 2010 **Abiding Candidates** – Deadline to file an *Application for Public Funds* for the general election period.

September 7, 2010 **Abiding Candidates** who qualified for public funds for the general election – Scheduled first day of distribution of public funds for the general election period.

October 3, 2010 Start of the period for filing Statements of Organization within 2 days. See April 11th notation.

October 4, 2010 **All general election Candidates** with committees as of September 28, 2010 – Due Date for the 1st General Election *Campaign Statement* (for the period ending September 28, 2010).

October 19, 2010 Start of the late contribution window for the general election.

October 25, 2010 **All general election Candidates** with committees as of October 18, 2010 – Due date for the 2nd General Election *Campaign Statement* (for the period ending October 18, 2010).

October 25, 2010 **Abiding Candidates** who received public funds for the general election – Due Date for the *Pre General Election Report of Expenditures of Public Funds* (for the period ending October 18, 2010).

October 27, 2010 Non-abiding candidates, due date for *Special Campaign Statement*, Form B-1A (Closing date of October 25, 2010). It must be **received** by the Commission on or before the due date.

November 1, 2010	Last day of the late contribution window for the general election. Last day of the period for filing Statements of Organization within 2 days.
November 2, 2010	GENERAL ELECTION DAY
December 31, 2010	End of the <u>general election period</u>
December 31, 2010	Abiding Candidates who received public funds for the general election – Due Date for the Post General Election <i>Report of Expenditures of Public Funds</i> (for the period October 19, 2010, through December 31, 2010), and deadline for the remittance of any unused public funds.
January 11, 2011	All general election Candidates with committees as of December 31, 2010 – Due Date for the Post General Election <i>Campaign Statement</i> (for the period ending December 31, 2010).

NOTE – **Any candidates** who were not elected are encouraged to dissolve their candidate committees as soon as possible after the general election.